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Current status and new possibilities in atherosclerotic cardiovascular disease prevention by targeting LDL-cholesterol

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Intensive LDL-C lowering treatment beyond current recommendations prevents major vascular events

myocardial infarction, or stroke 0.16 Adjusted event rate (probability) 0.14 0.14 0.10 0.08 0.06 0.5 1.0 2.5 0 1.5 2.0 3.0 3.5 4.5 4.0 LDL cholesterol 4 weeks after randomization (mmol/L)

LDL-C at 4 weeks and risk of cardiovascular death,

Used with permission. Giugliano RP, et al. Lancet. 2017;390(10106):1962-1971.

*The FOURIER (Further cardiovascular OUtcomes Research with PCSK9 Inhibition in subjects with Elevated Risk) trial was a randomized, double-blind, trial assessing evolocumab vs placebo in 25,982 patients with stable atherosclerotic cardiovascular disease.

⁺Safety events assessed included: neurocognitive events (EBBINGHAUS study), new onset diabetes mellitus, cataract-related adverse events, new or progressive malignancy, and haemorrhagic stroke.

Giugliano RP, et al. Lancet. 2017;390(10106):1962-1971.

Prespecified secondary analysis from the FOURIER* trial (N=25,982)

Patients who achieved progressively lower LDL cholesterol concentrations at 4 weeks had progressively fewer cardiovascular events with no evidence of a plateau

LDL-C reductions to as low as <0.5 mmol/L (<19 mg/dL) provided the greatest risk reduction of major CV event

At median follow-up of 2.2 years, no safety concerns[†] were identified in subjects who achieved very low LDL-C levels

Recent European DA VINCI study confirms statins as the mainstay of lipid-lowering therapy

DA VINCI study overview

- Cross sectional study of patients receiving LLT between June 2017 and November 2018 across 18 European countries
- N=5888 (3000 primary prevention and 2888 secondary prevention)

Use of LTT



- Statins were the mainstay of therapy
 - 94% of primary prevention patients and 94% of patients with established ASCVD were on a statin
- Most frequent regimen across all risk categories was moderate-intensity statins as monotherapy
- Use of high-intensity statins: 22% in primary prevention and 42% in patients with ASCVD
- Combination therapy: ezetimibe in 9% of all patients on statins; PCSK9 inhibitors in 1% of all patients on statins and/or ezetimibe

DA VINCI study demonstrates current gaps in reaching 2016 and 2019 ESC/EAS LDL-C goals

Overall, **54%** attained overall risk-based **2016 goal**

Low risk: 63%;
moderate risk: 75%;
high risk: 63%;
very high risk: 39%



Only **33%** attained overall **2019 goal** In patients with established ASCVD, 2019 goal attainment was approximately half that of 2016 (18% vs 39%, respectively)

Potential reasons for failure to achieve ESC/EAS guideline recommended LDL-C values

- Lack of HCP familiarity with guidelines
- High cost of medications such as PCSK9 mAb inhibitors

- Patient reluctance to be treated with high-intensity LLT
- Concern about statin-related AEs

The authors concluded that "even with optimized statins, greater utilization of non-statin LLT is likely needed to reduce these gaps for patients at highest risk"

Undertreatment with statins is common in patients recommended for therapy in the United States Data from the PALM Registry*

| | Treatment ⁺ | | |
|----------------------|------------------------|--|-----------------------|
| | Not on statin | Lower than recommended intensity | Treated appropriately |
| Overall | 25.3% | 32.3% | 42.4% |
| Indication | | | |
| Primary prevention | 36.6% | 27.3% | 36.0% |
| Secondary prevention | 16.4% | 36.2% | 47.3% |

In multivariate analysis

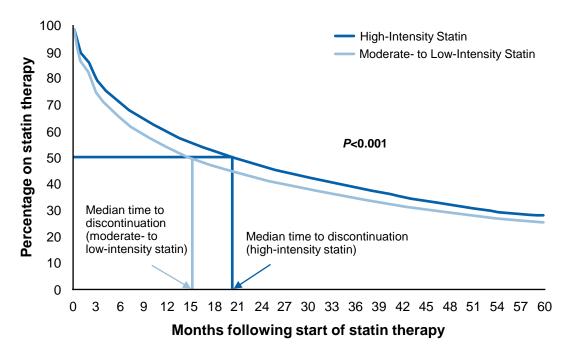
- Factors associated with increased odds of receiving guideline recommended statin therapy include: male sex, increasing age, BMI ≥30 kg/m², diabetes, hypertension, and lower 10-year predicted ASCVD risk
- Patients with CAD were more likely to receive guideline recommended statin therapy than those with other types of ASCVD (51.1% vs 34.9%, respectively)

*PALM (Patient and Provider Assessment of Lipid Management) registry: 7,938 patients from 140 cardiology, primary care, and endocrinology practices in the United States. The population is comprised of adults either on statins, at high risk of ASCVD, or with prior ASCVD.

[†]N=5,905 statin-eligible primary or secondary prevention patients from 138 PALM Registry practices.

Navar AM, Wang TY, Li S, et al. Am Heart J. 2017;193:84-92.

Most studies report statin discontinuation rates ≥50%¹



Used with permission. . Lin I, et al. J Manag Care Spec Pharm. 2016;22(6):685-98.

53% of patients discontinued statin therapy during follow-up in a real-world retrospective study^{2*}

15% of patients received highintensity therapy and 85% of patients received moderateto low-intensity therapy at index[†]

Median time to discontinuation was ~15 months

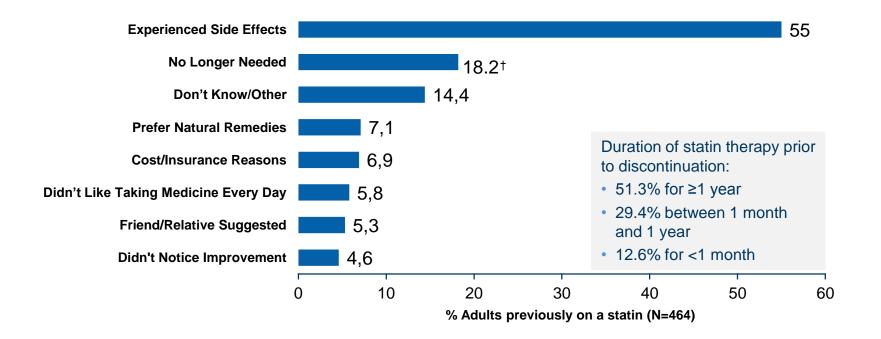
Index treatment with high-intensity therapy was associated with a longer median time to discontinuation and lower rates of discontinuation, 47.9% vs 53.9% compared with moderate- to low-intensity therapy (*P*<0.001)

*463,707 statin naive patients were identified from an analysis of almost 120 million patients in the Truven MarketScan and Medicare Supplemental databases (January 2007 through June 2013). [†]More than 20% of patients initially started with high-intensity therapy switched to moderate- to low-intensity therapy; 6.7% to 14.2% of patients starting with low-intensity therapy increased statin therapy during follow-up.

1. De Vera MA, et al. Br J Clin Pharmacol. 2014;78(4):684-698. 2. Lin I, et al. J Manag Care Spec Pharm. 2016;22(6):685-98.

Perceived side effects are the leading cause of statin discontinuation

Patient-reported reasons for statin discontinuation: insights from PALM registry*



*Among 5693 adults recommended for statin therapy in the PALM registry.

[†]Primary prevention patients were more likely than secondary prevention patients to state that the statin was no longer needed (23.4% vs 13.5%; *P*=0.007).

Bradley CK, et al. J Am Heart Assoc. 2019;8:e011765.

Therapy interruptions are observed with monoclonal antibodies directed against PCSK9

Retrospective analysis of 6151 patients from a commercial insurance database in the United States initiating PCSK9mAb inhibitors



experienced an interruption in PCSK9mAb inhibitor therapy of at least 30 days within 1 year of its initiation

 Only 63% remained on a PCSK9mAb inhibitor 1 year after its initiation

44% of patients

experienced an interruption in all lipid-lowering therapy by 1 year of initiation of PCSK9mAb inhibitor

 27% were no longer on any lipid-lowering therapy 1 year after initiating a PCSK9mAb inhibitor

Treatment for cardiovascular disease can be burdensome

Predisposing factors for treatment burden associated with chronic illness have been identifed¹

- Patient age
- Number of comorbidities
- Treatment characteristics:
 - Number of medications
 - Frequency of administration
 - Side effects
 - Perceived lack of efficacy

These factors may negatively impact adherence

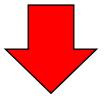


A study group of 196 patients taking cardiovascular medications* for >1 year among which 65.8% of patients were retired and ~66% were taking ≥4 medications **35%** an adverse impact on their social and daily lives²

*Patients 45+ years, predominantly of Dutch patients (89.8%); cardiovascular medications included antihypertensives, antihyperlipidemics, and anticoagulants.

1. Sav A, et al. *Health Expect.* 2015 Jun;18(3):312-324. 2. van der Laan DM, et al. *Int J Clin Pharm.* 2018;40(2):412-420

The unmet treatment needs

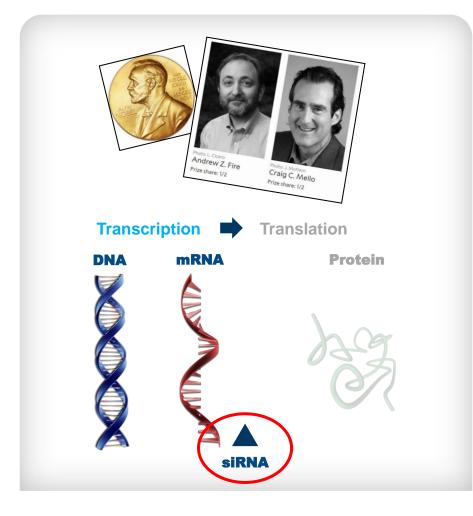


Gene-Protein synthesis inhibition

as a new promissing approach

RNA Therapeutics

Synthetic small RNA



In 2006, Andrew Fire and Craig Mello were awarded the Nobel Prize for Physiology or Medicine for their discovery of RNAi, initiating an era of RNA therapeutics (highly specific drugs)¹

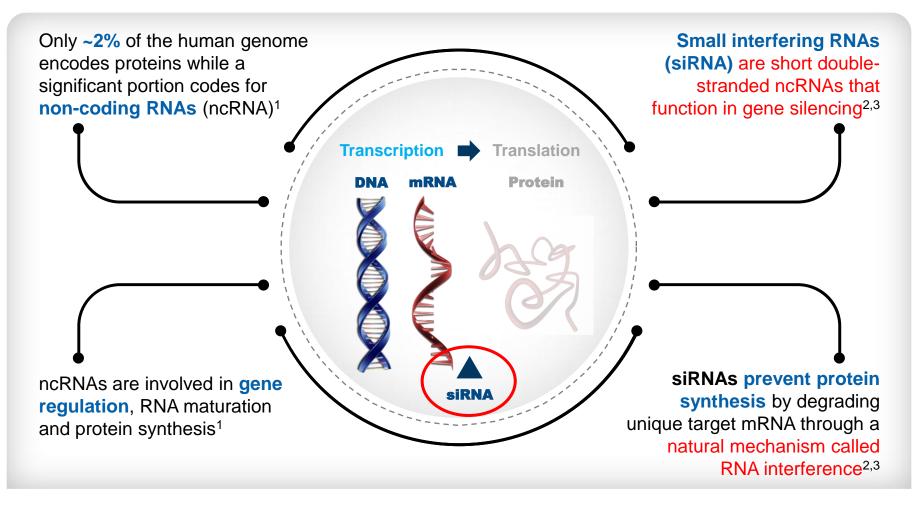
RNA therapeutics harness the natural biologic pathway of RNAi to regulate expression of specific genes²

Advances in RNA therapeutics focus on gene silencing using synthetic short ncRNA, including siRNA, to regulate and/or silence target genes^{2,3}

Synthetic siRNA targets a unique mRNA nucleotide sequence and can theoretically target any gene of interest²

Gene-Protein Synthesis

Non-coding RNAs



RNA Therapeutics

Synthetic small RNA: Delivery mechanisms

GalNAc Conjugates^{1,2}

- Trivalent N-Acetylgalactosamine (GalNAc) conjugated to siRNA mediate hepatocyte uptake through interactions with the asialoglycoprotein receptor (ASGPR, which is abundantly expressed in hepatocytes), causing durable whole-liver gene knockdown without adjunct toxicity
- The acidic pH in the endosome results in ASGPR dissociation and recycling back to the surface
- The GalNAc conjugate also offers some protection against liver exonucleases

Lipid Nanoparticles¹

- Lipid nanoparticles (LNPs) are comprised of PEGylated lipids, cholesterol and nucleic acids
- LNPs associate with apolipoproteins in circulation which mediate endocytosis by hepatocytes
- · The acidic pH in the endosome contributes to release of internal cargo

Liposomes^{3,4}

- Liposomes are characterized by a lipid bilayer, mainly comprising phospholipids, surrounding an aqueous core
- Cationic liposomes facilitate intracellular and endosomal uptake through interactions with anionic components

^{1.} Wittrup J and Lieberman J. Nat Rev Genet. 2015;16:543-552; 2. Data on file. Inclisiran. Investigator's Brochure. Novartis Pharmaceuticals Corp; 2018; 3. Barba AA, et al. Pharmaceutics. 2019;11:360; 4. Schroeder A, et al. J Intern Med. 2010;267:9-21

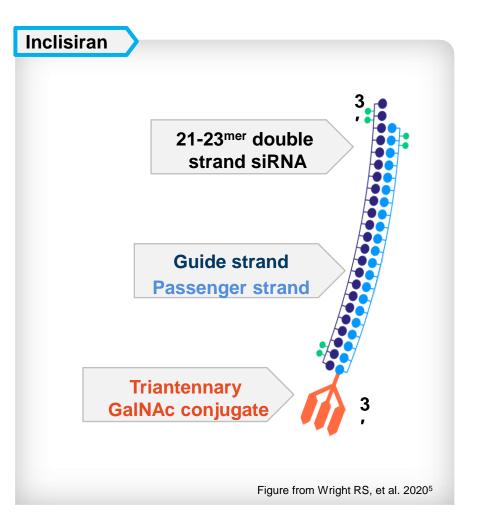
What is inclisiran?

Small interfering RNA

- Synthetic small interfering RNA (siRNA) conjugated with triantennary GalNAc carbohydrate^{1,2}
- Utilizes the natural RNA interference mechanism to prevent translation of PCSK9 by degradation of PCSK9 mRNA²

Chemical Modifications^{3,4}

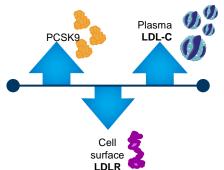
- 2'-fluoro and 2'-O-methyl modifications to increase compound stability
- Backbone phosphodiester linkages modified with phosphorothioates to protect from degradation by liver exonucleases
- Triantennary GalNAc conjugation for targeted hepatic delivery

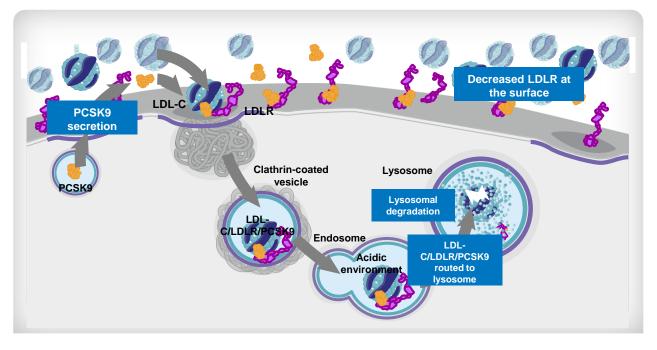


Wang N, et al. Circ Res. 2017;120:1063-1065; 2. Fitzgerald K, et al. N Engl J Med. 2017;376:41-51
Data on file. Inclisiran. Investigator's Brochure. Novartis Pharmaceuticals Corp; 2018; 4. Khorova A, et al. N Engl J Med. 2017;376:4-7; 5. Wright RS, et al. Presented at ACC 2020, 28-30 Mar 2020, Chicago, USA

Regulation of LDL-C by LDLR and PCSK9

- PCSK9 is primarily secreted in the liver and in trace amounts in the small intestine, kidney and brain, in response to cholesterol, metabolic state and dietary nutrients¹
- Following hepatocyte secretion, PCSK9 binds to LDLR on the surface concurrently with LDL-C, initiating internalization by endocytosis²
- The acidic pH in the endosome increases binding affinity of PCSK9 for LDLR and the entire complex undergoes degradation in the lysosome³
- LDLR is not recycled, resulting in reduced LDLR concentration on the surface and increased levels of circulating LDL-C^{2,3}





1. Krysa JA, et al. J Nutr. 2017;147:473-481; 2.Qian YW, et al. J Lipid Res. 2007;48:1488-1498; 3; Zhang DW, et al. J Biol Chem. 2007;282:18602-18612

ORION development program through ORION-12

| | Trials | Relevant endpoints | Patients selected (number) | Expected start time |
|------------------------|----------|--|---|---------------------|
| Pivotal | ORION-4 | Cardiovascular M&M (Phase III) | HRASCVD or ASCVD RE (N=15,000) | Q2 2018 |
| trials | ORION-5 | LDL-C lowering (Phase III) | HoFH (N=60) | Q2/3 2018 |
| | ORION-9 | LDL-C lowering (Phase III) | HeFH (N=400) | Ongoing |
| | ORION-10 | LDL-C lowering (US) (Phase III) | ASCVD (N=1,500) | Ongoing |
| | ORION-11 | LDL-C lowering (EU) (Phase III) | ASCVD or ASCVD RE (N=1,500) | Ongoing |
| Extension trials | ORION-3 | LDL-C lowering (extension of ORION-1) | ASCVD or ASCVD RE or HeFH (N=490) | Ongoing |
| | ORION-8 | LDL-C lowering (extension of ORION -9, -10, -11) | ASCVD, ASCVD risk equivalent, HeFH (N=3,460) | Q4 2019 |
| Supportive trials | ORION-1 | LDL-C lowering (Phase II) | ASCVD or ASCVD RE or HeFH (N=501) | Completed |
| | ORION-2 | LDL-C lowering (Phase II) | HoFH (N=10) | Ongoing |
| Special | ORION-6 | Pharmacokinetics | Hepatic impairment (N=24-32) | Q2 2018 |
| populations studies | ORION-7 | Pharmacokinetics | Renal impairment (N=31) | Ongoing |
| | ORION-12 | ΤQΤ | Healthy volunteers (N=200) | Q1/2 2018 |

Phase 3 ORION-9, -10, and -11

Study inclusion/exclusion criteria

| Trial Specific Inclusion Criteria | | | | | |
|-----------------------------------|---------------------------------|--|--|--|--|
| ORION-9 ^{1,2} | ORION-10 ^{3,4} | ORION-11 ^{3,4} | | | |
| HeFH | ASCVD (CHD, CVD, PAD) | ASCVD (CHD, CVD, PAD) | | | |
| Stable on a low-fat diet | - | ASCVD risk equivalents • Type 2 diabetes • 10-year risk ≥20% • FH | | | |
| LDL-C ≥2.6 mmol/L (100 mg/dL) | LDL-C ≥1.8 mmol/L (70 mg/dL) | LDL-C ≥1.8 mmol/L (70 mg/dL) in ASCVD or ≥2.6 mmol/L (100 mg/dL) in risk equivalent | | | |

Common key inclusion criteria:

≥18 years of age; fasting triglyceride <4.52 mmol/L (<400 mg/dL) at screening; had received statin treatment at the maximally tolerated dose or demonstrated documented intolerance. Ezetimibe therapy was allowed.

Common key exclusion criteria:

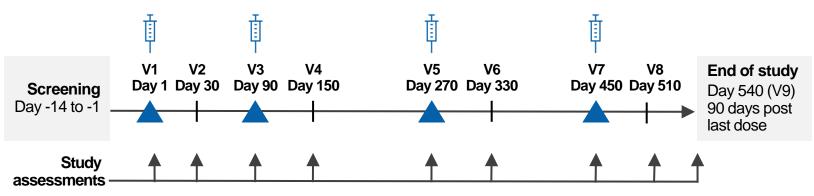
Prior or planned use of a PCSK9 mAb; MACE within 3 months of randomization; had prior/planned use of other investigational drugs; NYHA class IV heart failure or LVEF <25%; uncontrolled severe hypertension; severe concomitant non CV disease; fasting TG \geq 4.52 mmol/L (400 mg/dL).

Phase 3 ORION-9, -10, and -11

Study design and endpoints¹⁻⁴

18-month, double-blind, randomized, placebo-controlled

Randomized 1:1 inclisiran 300 mg* vs placebo – on top of maximal tolerated statin dose



Key primary endpoints

- Percentage change in LDL-C levels from baseline to Day 510
- Time-adjusted percentage change in LDL-C levels from baseline after Day 90 and up to Day 540

Key secondary endpoints

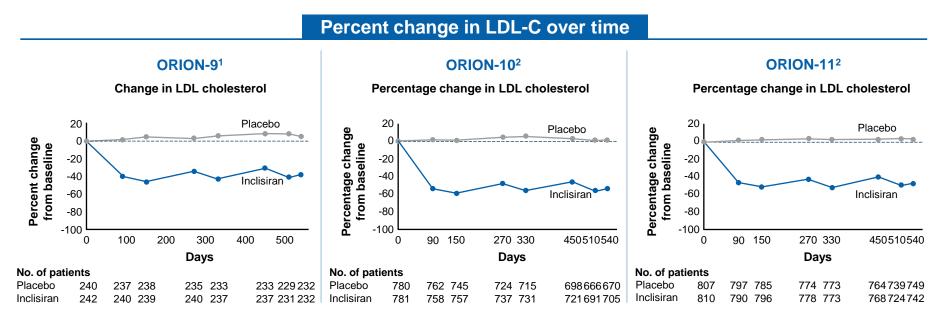
- Absolute change in LDL-C from baseline to Day 510
- Time-adjusted absolute change in LDL-C from baseline between Day 90 and up to Day 540
- Percentage change from baseline to Day 510 in PCSK9, TC, ApoB, and non-HDL-C
- Safety and tolerability profile of inclisiran, measured by AEs, SAEs, vital signs, and clinical laboratory values

*300 mg inclisiran sodium salt, equivalent to 284 mg of inclisiran.

1. Raal FJ, et al. *N Engl J Med.* 2020;382(16):1520-1530. 2. Raal FJ, et al. [supplementary appendix] *N Engl J Med.* 2020;382:1520-1530. doi: 10.1056/NEJMoa1913805. 3. Ray KK, et al. *N Engl J Med.* 2020;382(16):1507-1519. 4. Ray KK, et al. [supplementary appendix] *N Engl J Med.* doi: 10.1056/NEJMoa1912387.

Phase 3 ORION-9, -10, and -11

Inclisiran provides effective and sustained LDL-C lowering over 18 months



Used with permission. Raal FJ, et al. N Engl J Med. 2020;382(16):1520-1530.

Used with permission. Ray KK, et al. N Engl J Med. 2020;382(16):1507-1519.

Pharmacokinetic properties of inclisiran

Safety, pharmacology and toxicology



- No drug interactions expected¹
- Co-administration with atorvastatin was not associated with exacerbated toxicities*1
- No dose-limiting toxicities^{*2}
- Not genotoxic or carcinogenic*1,2
- No off-target effects observed^{*1}
- No effect on fertility or embryonic development*1
- No dose adjustment necessary for patients with renal or hepatic impairment²
- Adverse events:
 - Clinically relevant injection site reactions in \sim 5% of participants, including pain, erythema and rash¹

*Testing was carried out in vitro or using animal models

1. Data on file. Inclisiran. Investigator's Brochure. Novartis Pharmaceuticals Corp; 2018; 2. Data on file. Inclisiran. Core Data Sheet. Novartis Pharmaceuticals Corp; 2020

Inclisiran treatment

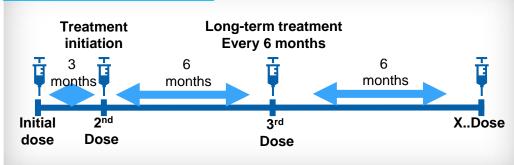
Dose & administration

Injection^{1,2}

1.5 mL solution per syringe

- 300 mg inclisiran sodium*
- Water as the diluent
- Sodium hydroxide and phosphoric acid (pH 7)
- Stored at room temperature

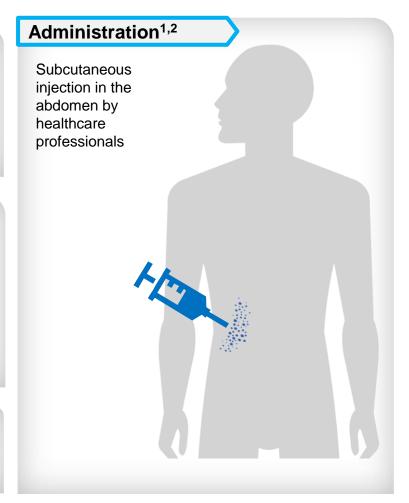
Dose regimen^{1,2}



Development¹



Under clinical investigation in the **ORION development** program to determine efficacy, safety and tolerability



*equates to 284 mg inclisiran

EMA inclisiran approval December, 2020

Inclisiran is approved for the treatment of adults with primary hypercholesterolemia (heterozygous familial and non-familial) or mixed dyslipidemia, as an adjunct to diet:

 ✓ in combination with a statin or statin with other lipid-lowering therapies in patients unable to reach LDL-C goals with the maximally tolerated dose of a statin,

or

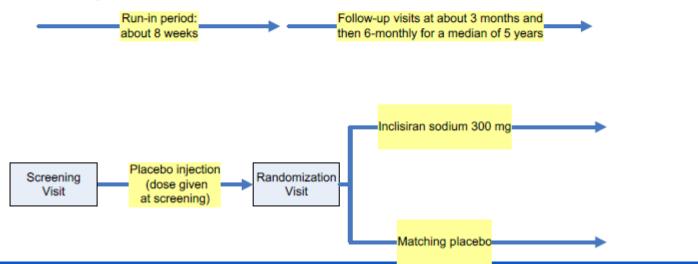
 alone or in combination with other lipid-lowering therapies in patients who are statin-intolerant, or for whom a statin is contraindicated.

ORION-4

Long term cardiovascular outcomes study

Study Aims

- To assess the effect of inclisiran on major cardiovascular events
- The study will randomize ≥15,000 participants aged ≥55 years with pre-existing cardiovascular disease between inclisiran sodium 300 mg and matching placebo for a median of about 5 years.



Conclusions

Inhibition of PCSK9 with inclisiran is a very promising, and potentially the simplest and most effective approach to further reducing LDL-C, the cause of atherosclerosis:

✓ LDL-C variability within individuals is practically eliminated

Injection burden reduced substantially

Sustained effect between infrequent injections

Opportunity to improve patient adherence